

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
17 April 2003 (17.04.2003)

PCT

(10) International Publication Number  
WO 03/032360 A1

(51) International Patent Classification<sup>7</sup>: H01J 37/20,  
37/317, 37/28

[JP/JP]; c/o CANON KABUSHIKI KAISHA, 3-30-2,  
Shimomaruko, Ohta-ku, Tokyo 146-8501 (JP). UENO,  
Rie [JP/JP]; c/o CANON KABUSHIKI KAISHA, 3-30-2,  
Shimomaruko, Ohta-ku, Tokyo 146-8501 (JP).

(21) International Application Number: PCT/JP02/10277

(22) International Filing Date: 2 October 2002 (02.10.2002)

(74) Agents: OKABE, Masao et al.; No. 602, Fuji Bldg., 2-3,  
Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-0005 (JP).

(25) Filing Language: English

(81) Designated States (national): CN, KR, US.

(26) Publication Language: English

(30) Priority Data:  
2001-310032 5 October 2001 (05.10.2001) JP

(84) Designated States (regional): European patent (AT, BE,  
BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT,  
LU, MC, NL, PT, SE, SK, TR).

(71) Applicant (for all designated States except US): CANON  
KABUSHIKI KAISHA [JP/JP]; 3-30-2, Shimomaruko,  
Ohta-ku, Tokyo 146-8501 (JP).

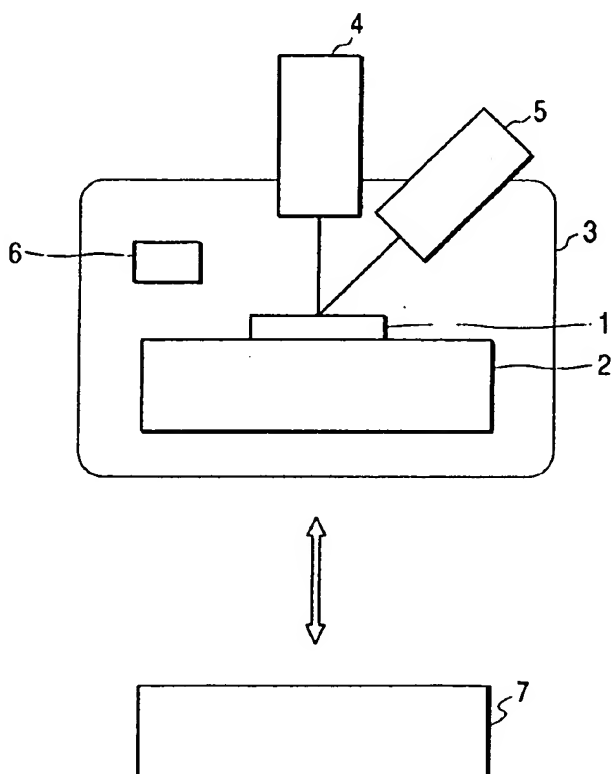
Published:  
— with international search report

For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.

(72) Inventors; and

(75) Inventors/Applicants (for US only): MOTOI, Taiko

(54) Title: INFORMATION ACQUISITION APPARATUS, CROSS SECTION EVALUATING APPARATUS, AND CROSS SEC-  
TION EVALUATING METHOD



(57) Abstract: The invention provides a cross section evaluating apparatus capable of analyzing the cross sectional structure in a state where the temperature of the specimen is regulated. There is disclosed an information acquisition apparatus comprising a stage for placing the specimen, temperature regulation means for regulating the temperature of the specimen, exposure means for exposing a surface, of which information is desired, of the specimen, and information acquisition means for acquiring information relating to the surface exposed by the exposure means.

WO 03/032360 A1

## DESCRIPTION

INFORMATION ACQUISITION APPARATUS,  
CROSS SECTION EVALUATING APPARATUS, AND

## 5 CROSS SECTION EVALUATING METHOD

## TECHNICAL FIELD

The present invention relates to an information  
acquisition apparatus for acquiring information on a  
10 specimen, and more particularly to a cross section  
evaluating apparatus and a cross section evaluating  
method for evaluating the cross section of a specimen  
of which state and shape vary according to a change  
in temperature.

15

## BACKGROUND ART

The demand for evaluation of a cross section or  
formation of a fine structure in organic materials,  
including bio-origin materials and plastics, is  
20 increasing together with the recent increase of  
functional devices.

As the principal methods of preparing a cross  
section, utilized for obtaining information on the  
structure of an organic material, there are known for  
25 example a cutting method with a blade, an embedding  
method in resin, an embedding method by freezing, a  
breaking method by freezing, an ion etching method

etc., but, in case of observing the internal structure of an organic material with an optical microscope, there is usually adopted a method of embedding the organic material in a resin and cutting  
5 it with a microtome.

However, the observation with the optical microscope is limited to a macroscopic analysis of the cross section, and, since the cut-out position cannot be designated, a large amount of work has been  
10 necessary in repeating the cross-section preparing operation, in order to achieve observation and analysis of the structure of the designated position.

For this reason, there has recently been developed an FIB-SEM apparatus in which a working  
15 function by an FIB (focused ion beam) apparatus is attached to an SEM (scanning electron microscope). The FIB apparatus irradiates a working specimen with a finely focused ion beam from an ion source, thereby achieving a working operation such as etching. The  
20 etching technology with such FIB apparatus is becoming more and more popular, and is currently widely employed for a structural analysis and a defect analysis of a semiconductor material or the like, and for preparing a specimen for a transmission  
25 electron microscope. The FIB-SEM apparatus is capable of executing a step of etching a specimen and a step of observing the cross section of the specimen

by the SEM within a single apparatus, thus being capable of designating a cut-out position and observing and analyzing the structure in such designated position.

5           Such FIB-SEM apparatus has been proposed in various configurations. For example, the Japanese Patent Application Laid-Open No. 1-181529 proposes an apparatus capable, while the specimen is fixed, of SEM observation of the working depth in the course of  
10 FIB working and SIM (scanning ion microscope) observation of the surface of the specimen in the course of working. This apparatus is so constructed that a focused ion beam (FIB) from an FIB generation unit and an electron beam from an electron beam  
15 generation unit irradiates, with respectively different angles, a same position of the fixed specimen, and the working by the FIB and the SEM (or SIM) observation by detecting secondary electrons emitted from the specimen in response to the  
20 irradiation with the electron beam (or FIB) are alternately executed, whereby the working state of the specimen can be monitored in the course of the working process.

          In addition, the Japanese Patent Application  
25 Laid-Open No. 9-274883 proposes a configuration of irradiating an electrode with a beam to prevent charging of the specimen in the course of FIB working,

thereby enabling a highly precise working.

#### DISCLOSURE OF THE INVENTION

However, in case the aforementioned  
5 conventional FIB-SEM apparatus is used for  
observation and analysis of the cross-sectional  
structure of a specimen of which state or shape  
changes by the temperature such as an organic  
material, the heat generated in the course of FIB  
10 working causes a change in the temperature of the  
specimen, thereby varying the state or shape thereof,  
whereby the cross-sectional structure of the specimen  
cannot be exactly analyzed.

In consideration of the foregoing, an object of  
15 the present invention is to provide an information  
acquisition apparatus capable of resolving the  
aforementioned drawbacks and acquiring the  
information on the surface of which information is  
desired, in a state where the temperature of the  
20 specimen is regulated.

Another object of the present invention is to  
provide a cross section evaluating apparatus and a  
cross section evaluating method capable of resolving  
the aforementioned drawbacks and analyzing the cross  
25 section in a state where the temperature of the  
specimen is regulated.

Still another object of the present invention

is to provide a working apparatus, a work portion  
evaluating apparatus and a working method, capable of  
resolving the aforementioned drawbacks, and of  
working a specimen and exactly acquiring the  
5 information of the work portion in a state where the  
temperature of the specimen is regulated.

The above-mentioned objects can be attained,  
according to the present invention, by an information  
acquisition apparatus comprising a stage for placing  
10 a specimen, a temperature regulation means for  
regulating the temperature of the specimen, an  
exposure means for exposing a surface of the specimen  
of which surface information is desired, and  
an information acquisition means for acquiring the  
15 information relating to the surface exposed by the  
exposure means.

According to the present invention, there is  
also provided a cross section evaluating apparatus  
comprising a stage for placing a specimen, a  
20 temperature regulation means for regulating the  
temperature of the specimen, an ion beam generation  
means for irradiating the specimen with an ion beam  
thereby cutting out a cross section or working the  
specimen, an electron beam generation means for  
25 irradiating the specimen with an electron beam, and  
a detection means for detecting an emission signal  
emitted from the specimen in response to the

irradiation with the ion beam or the irradiation with the electron beam, to acquire information from the detection means is acquired.

There is also provided a cross section  
5 evaluating apparatus provided with the aforementioned cross section evaluating apparatus further comprising an information acquisition means for irradiating a predetermined portion of the specimen with the ion beam to cut out a cross section or work the specimen,  
10 scanning the surface of the predetermined portion or the cut-out cross section with the ion beam or the electron beam, and acquiring an image information relating to the surface of the predetermined portion or the cut-out cross section based on emission  
15 signals from plural point detected by the detection means in synchronization with the scanning.

According to the present invention, there is also provided a cross section evaluating method comprising the steps of regulating the temperature of  
20 a specimen, irradiating a predetermined portion of the specimen with an ion beam to cut out a cross section, and scanning the cut-out cross section with an electron beam and acquiring an image relating to the cross section from an emission signal emitted  
25 from plural points in synchronization with the scanning.

According to the present invention as described

in the foregoing, the specimen is always subjected to temperature regulation, so that the specimen is always maintained at a desired temperature even in the course of FIB working and is therefore prevented

5. from changes in the state or shape as encountered in the conventional technologies.

In the present invention, a cross section not only indicates a plane inside the specimen seen from a point, but also, even in case the specimen is

10. subjected to a working (including deposition or etching), a plane observable seen from a view point after such working.

Also according to the present invention, even in a specimen showing a change in the state or shape

15 by a temperature change, the exposure of a surface of which information is desired and the acquisition of information are executed in a state where the temperature of such specimen is regulated, so that exact information can be acquired from the surface of

20 which information is desired.

Also in case the present invention is applied to a cross section evaluating apparatus, there can be executed a working of the cross section, an observation (SEM or SIM observation) and an

25 elementary analysis can be executed while a specimen, showing a change in the state or shape by a temperature change, is maintained at a desired



temperature, so that there can achieved an exact morphological analysis of a micro cross section of the specimen can be executed.

## 5 BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a view schematically showing the configuration of a scanning electron microscope for cross sectional observation, constituting a first embodiment of the cross section evaluating apparatus  
10 of the present invention;

Fig. 2 is a block diagram schematically showing the configuration of a specimen stage with a temperature controller, constituting an example of a temperature holding unit shown in Fig. 1;

15 Fig. 3 is a flow chart showing a procedure of cross sectional evaluation, utilizing the scanning electron microscope for cross sectional observation shown in Fig. 1;

Fig. 4 is a view schematically showing the  
20 configuration of a scanning electron microscope for cross sectional observation, constituting a second embodiment of the cross section evaluating apparatus of the present invention;

Fig. 5 is a block diagram schematically showing  
25 the configuration of a specimen stage with a temperature controller, constituting an example of a temperature holding unit shown in Fig. 4;

Fig. 6 is a view schematically showing the configuration of a scanning electron microscope for cross sectional observation, constituting a third embodiment of the cross section evaluating apparatus  
5 of the present invention;

Fig. 7 is a view schematically showing the configuration of a scanning electron microscope for cross sectional observation, constituting a fourth embodiment of the cross section evaluating apparatus  
10 of the present invention;

Fig. 8A is a schematic view showing an example of a cross section prepared by an FIB working, while Fig. 8B is a schematic view showing a state of SEM observation of the cross section shown in Fig. 8A;  
15 and

Fig. 9A is a schematic view showing an example of a cross section prepared by an FIB working, while Fig. 9B is a schematic view showing a state of elementary analysis of the cross section shown in Fig.  
20 9A.

#### BEST MODE FOR CARRYING OUT THE INVENTION

Now the present invention will be clarified in detail by embodiments thereof, with reference to the  
25 accompanying drawings.

(Embodiment 1)

Fig. 1 schematically shows the configuration of

a scanning electron microscope for cross sectional observation, constituting a first embodiment of the cross section evaluating apparatus of the present invention. The electron microscope is provided with  
5 a temperature holding unit 2, on which a specimen 1 is fixed and which maintains the temperature of the specimen at a preset temperature. Temperature holding unit 2 can be accommodated in a specimen chamber 3.

10 Specimen chamber 3 is provided with an ion beam generation unit 4 for irradiating specimen 1, fixed to temperature holding unit 2 with an ion beam, and an electron beam generation unit 5 for irradiating the specimen with an electron beam and also with an  
15 electron detector 6 for detecting secondary electrons emitted from specimen 1 by the irradiation with the electron beam or the ion beam. The interior of specimen chamber 3 can be evacuated by a pump unrepresented in the figure to hold a predetermined  
20 low pressure, whereby the irradiation with the ion beam or the electron beam is rendered possible. In the present invention, the interior of the specimen chamber is preferably maintained at a pressure of  $1 \times 10^{-10}$  Pa to  $1 \times 10^{-2}$  Pa.

25 Ion beam generation unit 4 is used for irradiating specimen 1 with the ion beam thereby cutting out a cross section, and it can also be used

for SIM observation. In case of SIM observation, secondary electrons generated when specimen 1 is irradiated with the ion beam are detected by electron detector 6, and an image is formed based on a  
5 detection signal from electron detector 6.

Electron beam generation unit 5 is used for SEM observation. In case of SEM observation, the secondary electrons generated when specimen 1 is irradiated with the electron beam are detected by  
10 electron detector 6, and an image is formed based on a detection signal from electron detector 6.

The detection signal from electron detector 6 is supplied to a control unit 7, which executes image formations in the aforementioned SIM and SEM  
15 observations. For example, control unit 7 acquires image information (mapping information) from the detection signal supplied from electron detector 6, and forms an image by causing an unrepresented display apparatus to display such image information.  
20 In addition, control unit 7 controls the ion beam generation in ion beam generation unit 4 and the electron beam generation in electron beam generation unit 5, and controls the irradiation and scanning of the ion beam and the electron beam onto specimen 1.  
25 The beam scanning operation can be controlled in the beam side and/or in the stage side on which the specimen is fixed, but the control at the beam side

is preferable in consideration of the scanning speed etc. Also the irradiating positions of the ion beam and the electron beam can be respectively so controlled that they mutually coincide on specimen 1.

5           The electron beam generation unit and the ion beam generation unit may be so constructed as disclosed in Japanese Patent Application Laid-Open Nos. 11-260307 and 1-181529.

(Configuration of temperature regulating means)

10           Temperature regulating means in the present embodiment is provided with a temperature holding unit capable of regulating temperature of the specimen.

            Temperature holding unit 2 is for example  
15   comprised of a specimen stage having a temperature controller. Fig. 2 schematically shows the configuration of the specimen stage with temperature controller.

            Referring to Fig. 2, the specimen stage with  
20   temperature controller is comprised of a specimen stage 8 having a temperature varying mechanism 10 in a portion where specimen 1 is fixed, a thermometer 9a for directly detecting the temperature of specimen 1, a thermometer 9b mounting in a part of temperature  
25   varying mechanism 10 for detecting the temperature in the vicinity of specimen 1, and a temperature control unit 7a for regulating the temperature of temperature

varying mechanism 10 based on the temperature detected by thermometer 9b to keep the temperature of specimen 1 at a preset temperature.

Though not represented in Fig. 2, there is also  
5 provided a display unit for displaying the temperature detected by thermometer 9a, whereby the operator can confirm the temperature of specimen 1, based on the temperature displayed on the display unit. Temperature control unit 7a may also be so  
10 constructed as to regulate the temperature in temperature varying mechanism 10 based on the temperatures detected by both the thermometers 9a and 9b, thereby controlling the temperature of specimen 1 in more precise manner.

15 Temperature varying mechanism 10 is constructed as a unit together with thermometer 9b, whereby a unit capable of control in a required temperature range can be installed in specimen stage 8. Such unit can be, for example, a high temperature unit  
20 having a heating mechanism such as a heater, or a low temperature having a cooling mechanism. Also, if necessary, there may be used a unit provided with a temperature varying function relating to both a lower temperature region than the room temperature and a  
25 higher temperature than the room temperature region of the room temperature.

Specimen stage 8 is capable of mechanically

move specimen 1 in the vertical or horizontal direction, or rotate or incline specimen 1, thereby shifting specimen 1 to a desired position of evaluation. The movement control of specimen 1 by  
5 specimen stage 8 is conducted by the aforementioned control unit 7.

The aforementioned cooling mechanism can be comprised of a set of for example a Peltier element or a helium freezing device. Otherwise there may be  
10 adopted a system of providing a coolant pipe for flowing a cooling medium in a side of the temperature holding unit opposed to the specimen fixing portion to maintain a cooling medium such as liquid nitrogen and water in thermal contact with the temperature  
15 holding unit.

Also in order to increase the absorption efficiency for the heat generated in the course of working, there is preferably adopted a measure for improving the contact efficiency between the specimen  
20 and the cooling unit (temperature holding unit).

Such measure can be, for example, the use of a specimen holder which is so constructed as to wrap around the specimen but not to intercept the optical system of the apparatus to be used in the working and  
25 observing operations, or working the specimen in a shape matching the shape of the stage and supporting the specimen with a maximum contact area on the stage.

It is also possible to provide a cooling member which covers only a non-worked area of the specimen so as not to intercept the beam systems.

(Evaluating method for cross section of specimen)

5        In the following there will be explained a cross section evaluating method of the present invention.

Fig. 3 is a flow chart showing a sequence of cross sectional evaluation of a specimen with the scanning electron microscope for cross sectional observation shown in Fig. 1. In the following there will be given an explanation on the procedure of cross sectional observation, with reference to Fig. 3, together with a detailed explanation on the control for the SEM and SIM observations by control unit 7 and on the temperature control on the specimen by temperature control unit 7a with such procedure.

10

15

At first specimen 1 is fixed on a predetermined position (temperature varying mechanism 10) of specimen stage 8 (step S10) and inserted in specimen chamber 3, and an evaluation temperature is set (step S11). In response to the setting of the evaluation temperature, temperature control unit 7a controls temperature in temperature varying mechanism 10 whereby the temperature is kept at the set evaluation temperature. In this state, the temperature of specimen 1 is detected by thermometer 9a, and the

20

25



operator can confirm whether specimen 1 is maintained at the evaluation temperature based on the detection temperature displayed on the unrepresented display unit.

5           In the present embodiment, it is preferable to effect the working in a state where the specimen is cooled from the room temperature. Also a cooling to lower than 0°C is more preferable because the specimen can be solidified if it contains moisture.

10           In such a cooling process, it is preferred to cool at first the specimen to a predetermined temperature lower than the room temperature, then hold the specimen in a reduced pressure and execute a working operation by the irradiation of a focused  
15 beam while absorbing the heat generated from the vicinity of the irradiated portion of the specimen to retain the shape of the non-irradiated portion.

Also the cooling of the specimen may be achieved by rapid cooling from the room temperature.

20           In such a case, a cooling rate of 40°C/min or higher is preferred. This method allows to observe the cross section in a rapidly cooled state in case of measuring the cross sectional state of a mixture of which dispersion state varies depending on the  
25 temperature.

The cooling step is preferably executed before the pressure reducing step, thereby allowing to

suppress the evaporation of the specimen caused by the reduced pressure. However, if the specimen consists of a substance showing little evaporation, the cooling may be executed simultaneously with the pressure reduction.

The cooling depends on the specimen to be processed. In case of an ordinary organic material such as PET, it is preferably cooled to a temperature range of -0 to -200°C, preferably -50 to -150°C.

Also if the working time or the cooling time becomes excessively long at the cooling to the low temperature, a remaining gas in the specimen chamber or the substance generated at the working may be adsorbed in the specimen of low temperature, thereby eventually hindering the desired working or observation. It is therefore preferable to provide trap means for absorbing the remaining gas or the substance generated at the working operation and to execute the working or the acquisition of information while cooling such trap means.

The method of the present invention is advantageously applicable in case the object specimen is an organic material, particularly a material susceptible to heat such as a protein or other biological substances, or a moisture-containing composition. It is particularly preferable for a composition containing moisture, since the working

can be executed while the moisture is retained in the specimen.

In particular, the irradiation with the focused ion beam is executed under a reduced pressure.

5 Therefore, in case of working on a composition containing moisture or organic molecules of high volatility, there may result evaporation of moisture or such molecules by the heat generated in the course of the working operation, and the presence of the  
10 temperature regulating means of the present invention is highly effective.

It is also preferable, in order to achieve more exact working and structural evaluation, to provide a step of determining in advance an appropriate holding  
15 temperature at the working. Such preferred holding temperature can be determined by employing a specimen, equivalent to the specimen to be worked, as a reference, executing the working operation at plural temperatures and investigating the relationship  
20 between the damage in the worked portion and the cooling temperature.

In an ordinary FIB working apparatus, it has been customary to move the specimen, after the working thereof, to an SEM or another apparatus for  
25 executing operation etc., but the move to the observation means in the temperature controlled state has been difficult. The present embodiment provides

a working apparatus capable of working and observation on the specimen in a cooled state, without influence on the worked surface for example by the deposition of water drops on the specimen at  
5 the cooling.

After the confirmation that specimen 1 is maintained at the evaluation temperature, there is executed SEM observation of the surface of specimen 1, under constant confirmation of the temperature  
10 thereof (step S12). In the SEM observation, control unit 7 controls the electron beam irradiation by electron beam generation unit 5 and the movement of specimen stage 8, whereby specimen 1 is scanned by the electron beam from electron beam generation unit  
15 5. In synchronization with the scanning operation, electron detector 6 detects the secondary electrons, and control unit 7 displays an SEM image, based on the detection signal of the secondary electrons, on the unrepresented display unit. Thus, the operator  
20 can execute SEM observation of the surface of specimen 1.

Subsequently, based on the image obtained by the SEM observation of the surface of specimen 1 (SEM image displayed on the display unit), the cross  
25 section position to be evaluated is precisely determined (step S13), and thus determined cross section position to be evaluated is further subjected

to an SIM observation (step S14). In the SIM observation, control unit 7 controls the ion beam irradiation by ion beam generation unit 4 and the movement of specimen stage 8, whereby specimen 1 is  
5 scanned in the range of the cross section position to be evaluated by the ion beam from ion beam generation unit 4. In synchronization with the scanning operation, electron detector 6 detects the secondary electrons, and control unit 7 displays an SIM image,  
10 based on the detection signal of the secondary electrons, on the unrepresented display unit. Thus, the operator can execute SIM observation of the surface of specimen 1 at the cross section position to be evaluated determined in the step S14.

15 Then there are set FIB working conditions (step S15). In this setting of the FIB working conditions, a cut-out area and a cut-out position are determined on the SIM image obtained by the SIM observation of the surface in the step S14, and there are set the  
20 cross section working conditions including an acceleration voltage, a beam current and a beam diameter. The cross section working conditions include crude working conditions and finish working conditions, which are both set at this point. In the  
25 crude working conditions, the beam diameter and the working energy are larger than those in the finish working conditions. The cut-out area and the cut-out

position can be determined on the SEM image obtained in the foregoing step S14, but, in consideration of the precision, they are preferably determined on the SIM image obtained with the ion beam which is used in the actual working.

After the setting of the FIB working conditions, there is executed an FIB working (crude working) (step S16). In the crude working, control unit 7 controls the ion beam generation unit 7 according to the crude working conditions set as explained in the foregoing, and also controls the movement of specimen stage 8 whereby the cut-out area and cut-out position determined in the step S15 is irradiated with the ion beam of an amount necessary for cutting.

After the crude working, the surface of specimen 1 is subjected to an SIM observation to confirm, on an image obtained by such SIM observation (SIM image), whether the working has proceeded close to the desired position (step S17). Also the cross section prepared by the crude working is subjected to an SEM observation to confirm the state (coarseness) of the cross section (step S18). In case the working has not proceeded close to the desired position, the aforementioned steps S16 and S17 are repeated. The steps S16 and S17 are repeated also in case the worked cross section is extremely coarse, but, in such case, there is added for example an operation of

gradually reducing the amount of ion beam. The SIM observation of the surface in the step S17 is similarly controlled as in the foregoing step S12. Also the SEM observation of the cross section in the  
5 step S18 is controlled basically similar to the aforementioned step S12, except that specimen stage 8 is so moved that the worked cross section is irradiated by the electron beam. In this operation, the electron beam may have any incident angle to the  
10 cross section as long as an SEM image can be obtained.

After the confirmation that the crude working has proceeded close to the desired position, there is executed an FIB working (finish working) (step S19). In the finish working, control unit 7 controls the  
15 ion beam generation unit 7 according to the finish working conditions set as explained in the foregoing, and also controls the movement of specimen stage 8 whereby the crude finished portion obtained in the step S16 is irradiated with the ion beam of an amount  
20 necessary for finish working. Such finish working allows to obtain a smooth cross section for example enabling the observation with a high magnification with the scanning electron microscope.

Finally, thus prepared cross section of  
25 specimen 1 is subjected to an SEM observation (step S20). The control in such cross sectional SEM observation is same as that in the foregoing step S18.

As explained in the foregoing, the scanning electron microscope for cross sectional observation of the present embodiment is capable of maintaining the evaluated specimen 1 always at the set  
5 temperature, so that the state and morphology of specimen 1 do not change in the course of the FIB working. Consequently the fine structural analysis can be achieved in precise manner.

Also, the temperature of the specimen, selected  
10 in the working operation with the ion beam is preferably same as that selected at the observing operation, but the temperature in the working operation may be selected lower than that in the observing operation. In such case, there may be a  
15 temperature difference of 10 to 50°C between the working process and the observation process.

(Embodiment 2)

Fig. 4 schematically shows the configuration of a scanning electron microscope for cross sectional  
20 observation, constituting a second embodiment of the cross section evaluating apparatus of the present invention. This electron microscope is substantially same in configuration as that of the first embodiment, except for the presence of an X-ray detector 11 for  
25 detecting characteristic X-rays emitted from specimen 1 in response to the electron beam irradiation. In Fig. 4, components equivalent to those shown in the



foregoing are represented by like numbers.

Control unit 7 receives a detection signal from the X-ray detector 11, and, by scanning specimen 1 with the electron beam from electron beam generation unit 5, can execute an elementary analysis in the scanned range. Thus, the present embodiment is capable of an elementary analysis, in addition to the SEM observation and the SIM observation.

The electron microscope of the present embodiment is capable, in addition to the cross sectional evaluation of the specimen by the procedure shown in Fig. 3, of a cross sectional evaluation by the elementary analysis utilizing the aforementioned X-ray detector 11. More specifically, the elementary analysis utilizing the X-ray detector 11 is executed instead of the cross sectional SEM observation (or parallel thereto) in the step S20 in the evaluation procedure shown in Fig. 3. In the elementary analysis, control unit 7 controls the movement of specimen stage 8 in such a manner that the prepared cross section is irradiated by the electron beam from electron beam generation unit 5, and scans the cross section with the electron beam. In synchronization with the scanning operation, the X-ray detector 11 detects the characteristic X-rays from plural measuring points, and control unit 7 displays mapping information, based on the detection signal of thereof,

on the unrepresented display unit. Otherwise, after the scanning of the cross section with the electron beam, a necessary position is irradiated with the electron beam and the elementary analysis is executed  
5 by detecting the characteristic X-rays generated from the irradiated position.

In order to improve the precision of the elementary analysis utilizing the aforementioned X-ray detector 11, a specimen stage with a temperature  
10 controller as shown in Fig. 5 may be employed as temperature holding unit 2. This specimen stage with temperature controller is same in configuration as that shown in Fig. 2, except for the position of temperature varying mechanism 10 and the fixing  
15 position for specimen 1. In the configuration shown in Fig. 5, temperature varying mechanism 10 is so provided that a lateral face 10a thereof is positioned at an edge portion 8a of specimen stage 8, whereby the working of cross section can be directly  
20 executed on a lateral face 1a of specimen 1 fixed on temperature varying mechanism 10.

Thus, by employing such specimen stage with temperature controller as explained above, it is rendered possible to irradiate a right-hand portion  
25 (lateral face 1a) of specimen 1 with the ion beam thereby forming a cross section in this portion. Such formation of the cross section at the side of

the lateral face 1a of specimen 1 allows to position the cross section closer to the X-ray detector 11, and the precision of the elementary analysis can be improved by such positioning of the cross section  
5 closer to the X-ray detector 11. Also by inclining the specimen stage toward the detector, it is possible to improve the detection efficiency of the generated characteristic X-rays, and to further improve the precision of the elementary analysis.

10 Also such working of the cross section allows to position the cross section closer to electron beam generation unit 5 whereby the precision of the SEM image obtained with electron detector 6 can also be improved.

15 In the embodiments explained in the foregoing, the working of the specimen with the ion beam does not involve generation of a shear stress, a compression stress or a tensile stress as encountered in the mechanical working method such as cutting or  
20 grinding, so that a sharp cross section can be prepared even in a composite specimen consisting of a mixture of materials different in hardness or brittleness, a specimen including voids, a fine structure of organic materials formed on a substrate,  
25 a specimen easily soluble in a solvent etc.

Also, since the specimen can be maintained at the set temperature, it is possible to directly

observe the designated position without destructing the layer structure, even in a specimen including a material which changes the state or shape by the temperature.

5           The cross section evaluating method in the foregoing embodiments is effective for analyzing, at a desired temperature, a polymer structure on various substrates such as glass, a polymer structure containing micro particles or liquid crystals, a  
10 structure of particle dispersion in a fibrous material, or a specimen containing a material showing a temperature-dependent transition. It is naturally effective also for a material which is easily damaged by an ion beam or an electron beam.

15           The foregoing embodiments have been explained by an apparatus for executing the SEM observation, SIM observation and elementary analysis, but the present invention is not limited to such embodiments and is applicable also to an apparatus for executing  
20 various analyses such as mass analysis.

Further, the specimen stage with temperature controller shown in Fig. 5 can also be used as temperature holding unit 2 of the scanning electron microscope for cross sectional observation shown in  
25 Fig. 1.

(Embodiment 3)

In addition to the configurations of the

foregoing embodiments 1 and 2, there may be provided a reactive gas introducing pipe 13 as shown in Fig. 6, in the vicinity of the specimen stage, thereby introducing a reactive gas to the vicinity of the specimen in the course of the FIB working. There are also shown a valve 14 and a gas source container 15.

In such case, there can be executed ion beam-assisted gas etching or gas deposition depending on the selected conditions of ion beam, gas and temperature, thereby working the surface of the specimen into an arbitrary shape. The observation (SEM observation or SIM observation) of thus worked surface allows to obtain exact information on the surface thus worked into the desired shape.

The gas introducing aperture is so three-dimensionally positioned as not to obstruct the detector or the beam system.

A well-known example of FIB-assisted deposition is tungsten deposition utilizing hexacarbonyl tungsten ( $W(CO)_6$ ) and Ga-FIB.

Also it is possible to blow an organometallic gas around the FIB irradiating point, thereby causing a reaction between the FIB and the gas to deposit the metal of the gas onto the substrate.

A conventional FIB-assisted deposition apparatus without the cooling mechanism has been associated with a drawback that the underlying

material is removed by the FIB before the FIB-assisted deposition is started. Therefore, the present invention is advantageous as a method of forming a desired inorganic material.

5        It is also possible blow an etching gas around the FIB irradiating point, thereby inducing a reactive etching locally in the beam irradiating position, and enabling a micro working of a high speed and a high selectivity.

10        The aforementioned FIB-assisted etching and FIB-assisted deposition can be executed under the conditions as described in Japanese Patent Application Laid-Open No. 7-312196.

(Embodiment 4)

15        As shown in Fig. 7, the present embodiment is provided, in addition to the configuration of the embodiment 1, with trap means 16 for preventing re-deposition of the gas remaining in the specimen chamber or the substances generated at the working  
20        operation, onto the specimen. Such trap means is composed for example of a material of high thermal conductivity such as a metal, and is maintained at a temperature equal to or lower than that of the specimen while it is cooled.

25        The present embodiment is effective, in case of working or observation in a state of maintaining the specimen lower than the room temperature, in

preventing the deposition of impurities onto the specimen. For example, in the aforementioned FIB-assisted deposition, there may be formed an impurity layer between the deposition layer and the worked  
5 specimen, thereby hindering to achieve the desired function.

Such trap means is provided, in a state where the stage with the specimen supported thereon, the ion beam generation means, the electron beam  
10 generation means and the detection means are positioned, in such a position as not to hinder the beam systems in the detecting or working operation. For improving the trapping efficiency, such trap means is preferably positioned as close as possible  
15 to the specimen, as long as it does not hinder such detecting or working operation. Also the trap means may be provided in more than one unit in the specimen chamber maintained at a low pressure.

(Embodiment 5)

20 The present embodiment shows an example of applying the apparatus of the present invention as a cross section evaluating apparatus in a manufacturing process for a liquid crystal display device or an organic semiconductor device.

25 In the present embodiment, there will be explained a case of executing temperature regulation on the specimen of a relatively large area.

In case of exactly evaluating the cross sectional state in a part of a large-sized specimen, such as a glass substrate coated with liquid crystal and to be used in a large-size liquid crystal display device, it is preferable to regulate the temperature of the entire substrate, though a local temperature regulation of an area around the worked portion is also possible. In such case, the entire holder may be cooled by providing a coolant pipe for circulating a cooling medium, in a position opposed to the specimen supporting surface of the temperature holding unit.

[Examples]

In the following there will be explained examples of cross sectional evaluation with the cross section evaluating apparatus of the foregoing embodiments.

(Example 1)

The present example employed the scanning electron microscope for cross sectional observation shown in Fig. 1. Temperature holding unit 2 consisted of a unit of the specimen stage with temperature controller as shown in Fig. 2, coupled with a low-temperature varying mechanism, and there was executed a cross sectional evaluation of a specimen, prepared by forming a polymer structure containing liquid crystal (two-frequency drive liquid



crystal DF01XX, manufactured by Chisso Co.)(structure being obtained by mixing and polymerizing synthesized monomers HEMA, R167 and HDDA with liquid crystal) on a glass substrate, in the following procedure.

5           At first the specimen was fixed with carbon paste on the unit provided with the low-temperature varying mechanism, and this unit was set on specimen stage 8. After specimen stage 8 with the specimen set thereof was introduced in specimen chamber 3, the  
10 interior thereof was evacuated to a predetermined low pressure.

          Then the temperature was set at -100°C, and it was confirmed that the specimen was maintained at such evaluation temperature. Under constant  
15 confirmation of the temperature of the specimen, there was executed surfacial SEM observation of an area of the specimen including the cross section observing position. Based on the image obtained by the surfacial SEM observation, an approximately  
20 central portion of the specimen was determined as the cross section observing position.

          Then the determined cross section observing position was irradiated with the ion beam to obtain an SIM image. The ion beam used in this operation  
25 was made very weak, in the observation mode. More specifically, there was employed a gallium ion source, with an acceleration voltage of 30 kV, a beam current

of 20 pA and a beam diameter of about 30 nm. A cross section working portion was designated on the obtained SIM image.

Then the designated cross section working position was subjected to FIB working (crude working). More specifically, there were employed an acceleration voltage of 30 kV, a beam current of 50 nA and a beam diameter of about 300 nm to form a rectangular recess of a side of 40  $\mu\text{m}$  and a depth of 30  $\mu\text{m}$  in the cross section working position. The crude working was executed stepwise in small amounts under a weak condition, and the cross section of the specimen was often SEM observed in the course of working, in order to confirm that the working proceeds close to the desired position. When the working was almost completed, the beam was switched to an electron beam and the cross section under working was so adjusted that it could be scanned by the electron beam with an angle of about 60° thereto, and an SEM observation of the cross section was executed.

After confirmation that the working proceeded to the desired position, the beam was switched to an ion beam, and the cross section working position, obtained by crude working, was further subjected to a finish working for improving the precision of the cross section working, under a weak condition similar

to that in the SIM observation but with a finer beam than in the crude working. Fig. 8A schematically shows the cross section prepared by the above-mentioned FIB working, wherein a rectangular recess  
5 is formed by the irradiation of the ion beam 20, at the approximate center of the specimen 30.

Finally, the cross section of the specimen thus prepared was subjected to an SEM observation. Fig. 8B shows the mode of electron beam irradiation at  
10 such SEM observation. The cross section of the specimen 30 shown in Fig. 8A was so adjusted as to be scanned by the electron beam 21 at an angle of about 60°, and the SEM observation was executed by scanning the cross section of the specimen 30 with the  
15 electron beam 21. The SEM observation was executed under the conditions of an acceleration voltage of 800 V and a magnification up to 50,000 x, and allows to observe the state of the liquid crystal enclosed in the polymer layer.

20 In this example, the cross section could be worked without deformation of the liquid crystal layer in the course of working, since the FIB working was executed while the specimen was maintained at -100°C. Also the cross section showing the liquid  
25 crystal present in the polymer could be observed since the SEM observation could be executed in the same specimen chamber while a same temperature was

maintained.

(Example 2)

The present example employed the specimen stage with temperature controller shown in Fig. 5 as  
5 temperature holding unit 2, and the cross sectional evaluation of polymer particles (polystyrene) prepared on a PET substrate, was executed in the following procedure.

The temperature was set at about 10°C, and a  
10 side of the specimen was worked to form a notch of a length of about 20  $\mu\text{m}$ , a width of about 10  $\mu\text{m}$  and a depth of about 60  $\mu\text{m}$ . In order to prevent charging phenomenon, a platinum film of a thickness of about 30 nm was deposited, prior to the FIB working, by ion  
15 beam sputtering onto the surface of the specimen. Then hexacarbonyl tungsten was introduced and an FIB irradiation was executed so as to cover the polymer particles, thereby depositing a tungsten film as a protective film. Subsequently a finish working was  
20 executed under conditions similar to those in the example 1. Fig. 9A schematically shows the cross section prepared by the FIB working, wherein a rectangular recess is formed by the irradiation of the ion beam 20, on a lateral face (corresponding to  
25 the lateral face 1a in Fig. 5) of the specimen 31.

Then an SEM of the specimen 31 in an inclined state proved that the polymer particles were closely

adhered to the substrate. The SEM observation were executed under conditions of an acceleration voltage of 15 kV and a magnification up to 30,000 x.

Then the characteristic X-rays emitted from the cross section of the specimen 31 in the course of the above-mentioned SEM observation were fetched to obtain a mapping image (elementary analysis), which proved that aluminum was dispersed in polymer. Fig. 9B is a schematic view showing the irradiation of the electron beam and the emission of the characteristic X-rays at the elementary analysis. The electron beam 21 perpendicularly irradiates the cross section of the specimen 31 shown in Fig. 9A, and the characteristic X-rays are emitted in response from the cross section of the specimen 31. The elementary analysis was executed by detecting such characteristic X-rays.

In the foregoing, there has been explained a method of evaluating the cross section of a specimen, but the present invention is not limited to such case. The present invention also includes, for example, a configuration of eliminating substances deposited on the surface, exposing a surface to be observed and observing such surface.

Also for exposing the surface, there can be employed any means capable of exposing a surface of which information is desired, and laser beam

generation means can be advantageously adopted in addition to the ion beam generation means.

## CLAIMS

1. An information acquisition apparatus comprising:

a stage for placing a specimen;

5 a temperature regulation means for regulating the temperature of said specimen;

an exposure means for exposing a surface of said specimen of which surface information is desired; and

10 an information acquisition means for acquiring the information relating to the surface exposed by said exposure means.

2. An information acquisition apparatus

15 according to claim 1, wherein the exposure by said exposure means and the acquisition of the information by said information acquisition means are executed in a state where said specimen is regulated at a preset temperature by said temperature regulation means.

20

3. An information acquisition apparatus

according to claim 1, wherein said temperature regulation means is provided with a cooling means for cooling said specimen to a temperature lower than the  
25 room temperature.

4. An information acquisition apparatus

according to claim 1, wherein said stage, said exposure means and said information acquisition means are provided in a chamber of which atmosphere is controllable, and the information acquisition apparatus further comprises a trap means for capturing gas remaining in said chamber.

5. A cross section evaluating apparatus comprising:

- 10 a stage for placing a specimen;
- a temperature regulation means for regulating the temperature of said specimen;
- an ion beam generation means for irradiating said specimen with an ion beam thereby cutting out a
- 15 cross section or working said specimen;
- an electron beam generation means for irradiating said specimen with an electron beam; and
- a detection means for detecting an emission signal emitted from said specimen in response to the
- 20 irradiation with said ion beam or the irradiation with said electron beam, to acquire information from said detection means.

6. A cross section evaluating apparatus

25 according to claim 5, wherein said temperature regulation means is provided with a cooling means for cooling said specimen to a temperature lower than the



room temperature.

7. A cross section evaluating apparatus according to claim 5, wherein said stage, said ion  
5 beam generation means, said electron beam generation means and said detection means are provided in a chamber of which atmosphere is controllable, and the cross section evaluating apparatus further comprises a trap means for capturing gas remaining in said  
10 chamber.

8. A cross section evaluating apparatus according to claim 5, further comprising an information acquisition means for irradiating a  
15 predetermined portion of said specimen with said ion beam to cut out a cross section or work the specimen, scanning the surface of said predetermined portion or said cut-out cross section with said ion beam or said electron beam, and acquiring an image information  
20 relating to the surface of said predetermined portion or said cut-out cross section based on emission signals from plural point detected by said detection means in synchronization with said scanning.

25 9. A cross section evaluating apparatus according to claim 8, wherein said temperature regulation means is comprised of:

a specimen stage having a temperature varying mechanism in a portion where said specimen is fixed, and rendering the fixed specimen capable of moving and rotating in predetermined directions;

5        a first temperature detection means mounted in a part of said temperature varying mechanism to detect the temperature of the vicinity of the specimen fixed to said temperature varying mechanism; and

10       a temperature control means for regulating the temperature in said temperature varying mechanism based on the temperature detected by said first temperature detection means to keep the temperature of said specimen at a preset temperature.

15

10. A cross section evaluating apparatus according to claim 9, wherein a lateral face of the specimen fixed on said temperature varying mechanism is irradiated with the ion beam.

20

11. A cross section evaluating apparatus according to claim 9, wherein said temperature regulation means is further comprised of a second temperature detection means for directly detecting  
25 the temperature of the specimen and a display means for displaying the temperature detected by said second temperature detection means.

12. A cross section evaluating apparatus according to claim 11, wherein said temperature control means regulates the temperature in said temperature varying mechanism based on temperatures  
5 detected by the first and second temperature detection means.

13. A cross section evaluating apparatus according to any of claims 5 to 10, wherein said  
10 emission signal is a secondary electron and/or a characteristic X-ray.

14. A cross section evaluating apparatus according to claim 13, wherein said emission signal  
15 is a secondary electron or a characteristic X-ray.

15. A cross section evaluating apparatus according to any of claims 5 to 10, wherein said detection means is comprised of a first detector for  
20 detecting a secondary electron and a second detector for detecting a characteristic X-ray.

16. A cross section evaluating method comprising the steps of:  
25 regulating the temperature of a specimen;  
irradiating a predetermined portion of said specimen with an ion beam to cut out a cross section;

and

scanning said cut-out cross section with an  
electron beam and acquiring an image relating to said  
cross section from an emission signal emitted from  
5 plural points in synchronization with said scanning.

17. A cross section evaluating method according  
to claim 16, wherein said emission signal is a  
secondary electron and/or a characteristic X-ray.  
10

18. An information acquisition apparatus  
according to claim 4, wherein said emission signal is  
a secondary electron and/or a characteristic X-ray.

15 19. An information acquisition apparatus  
according to claim 18, wherein said emission signal  
is a secondary electron or a characteristic X-ray.

20 20. An information acquisition apparatus  
comprising:

a stage for placing a specimen;

a temperature regulation means for regulating  
the temperature of said specimen;

an ion beam generation means for irradiating  
25 said specimen with an ion beam thereby cutting out a  
cross section or working said specimen;

an electron beam generation means for

irradiating said specimen with an electron beam; and  
a detection means for detecting an emission  
signal emitted from said specimen in response to the  
irradiation with said ion beam or the irradiation  
5 with said electron beam, to acquire information from  
said detection means.

21. An information acquisition apparatus  
according to claim 20, wherein said stage, said ion  
10 beam generation means, said electron beam generation  
means and said detection means are provided in a  
chamber of which atmosphere is controllable, and the  
cross section evaluating apparatus further comprises  
a trap means for capturing gas remaining in said  
15 chamber.

FIG. 1

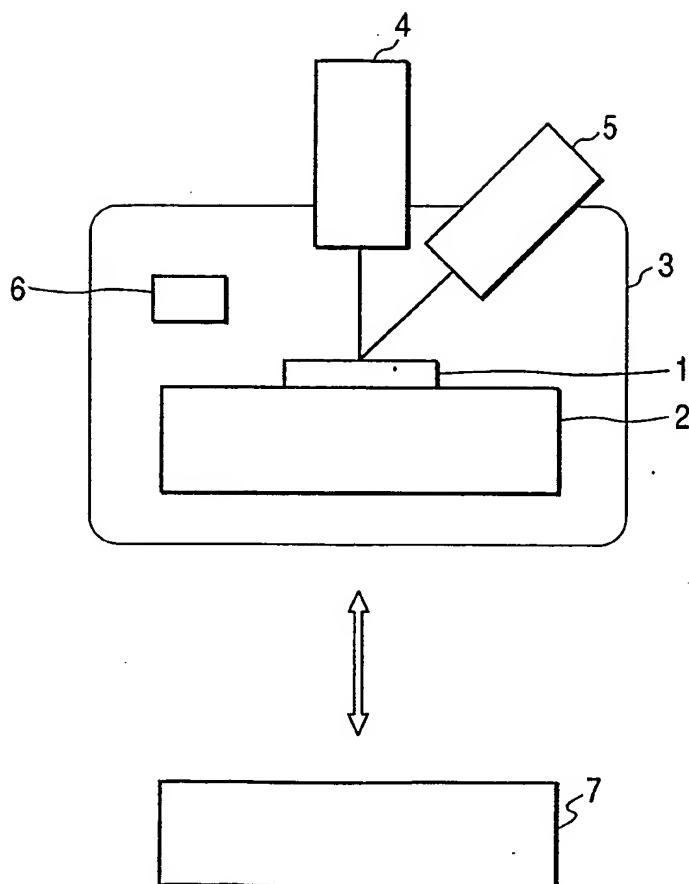


FIG. 2

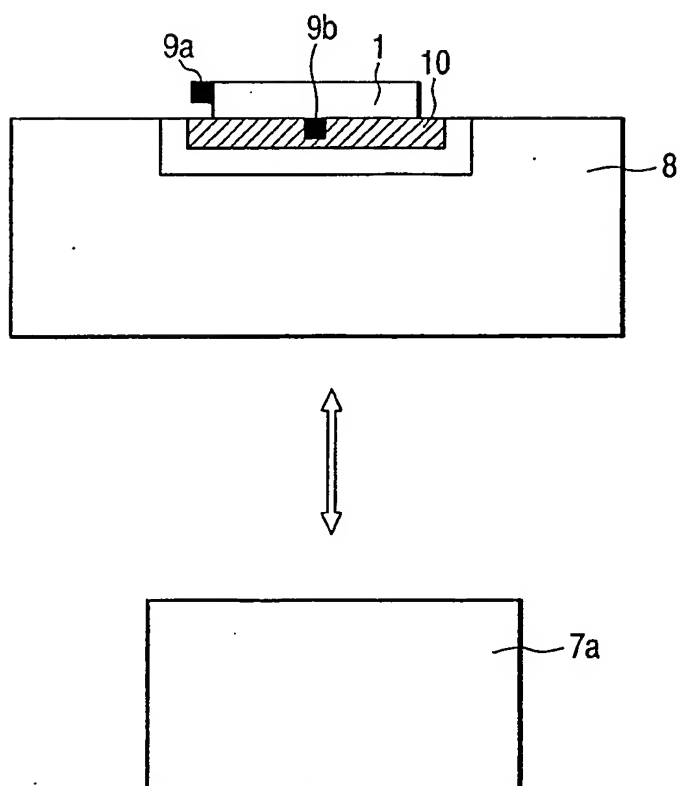


FIG. 3

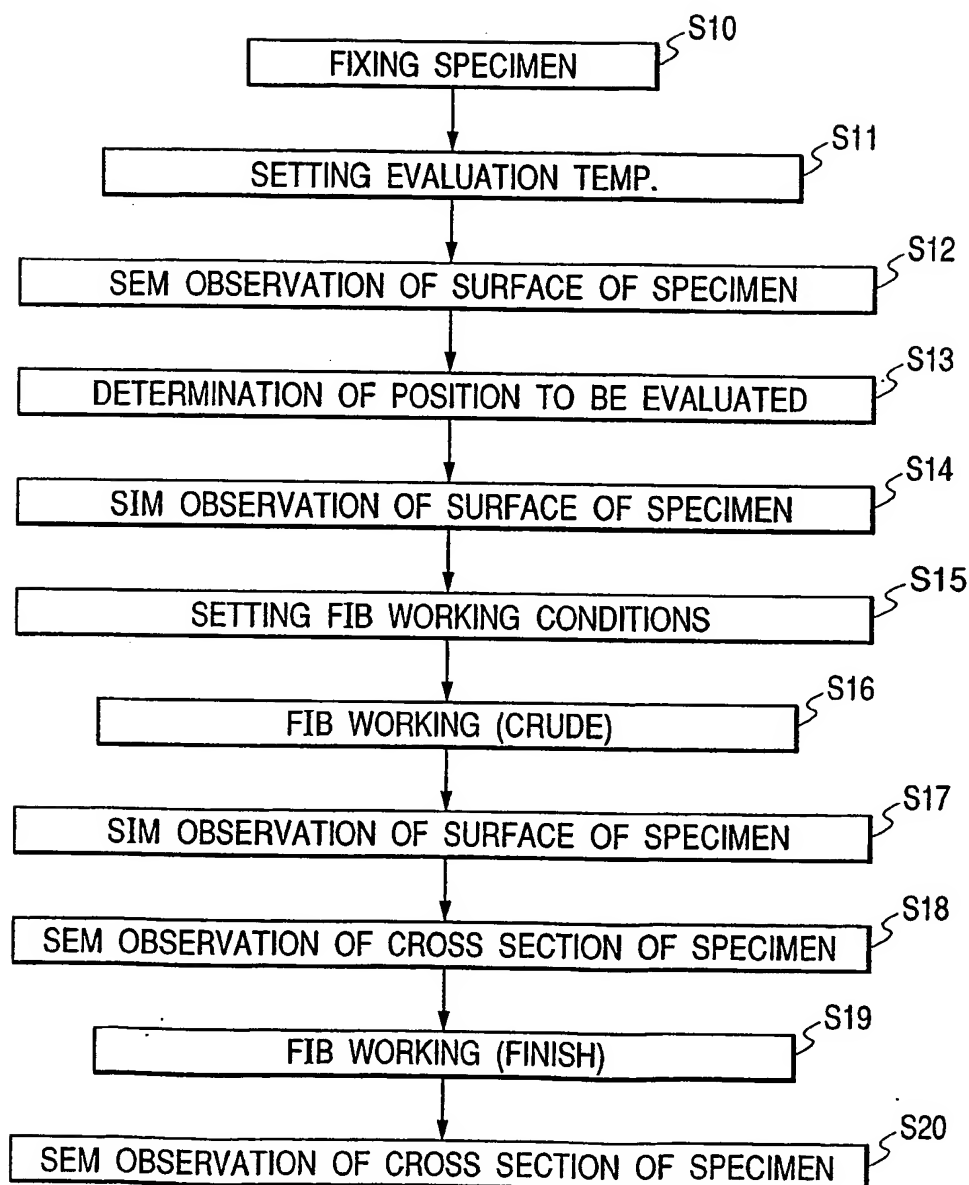




FIG. 4

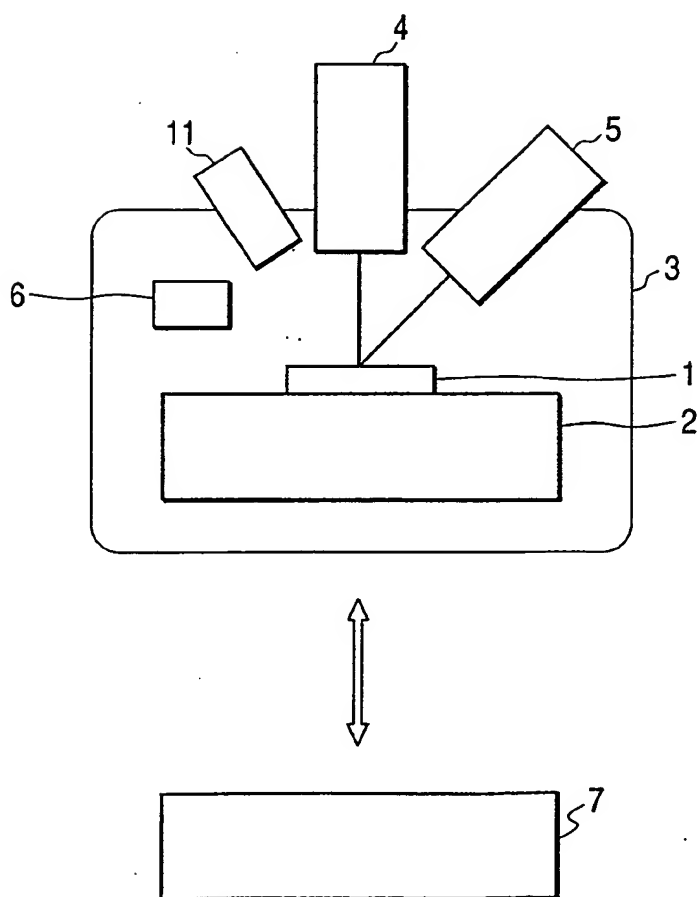


FIG. 5

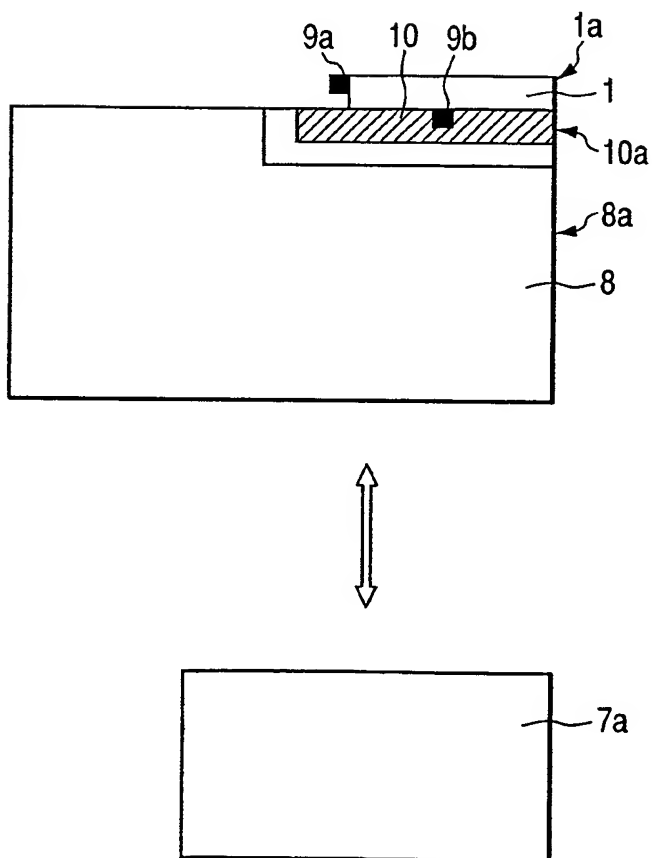


FIG. 6

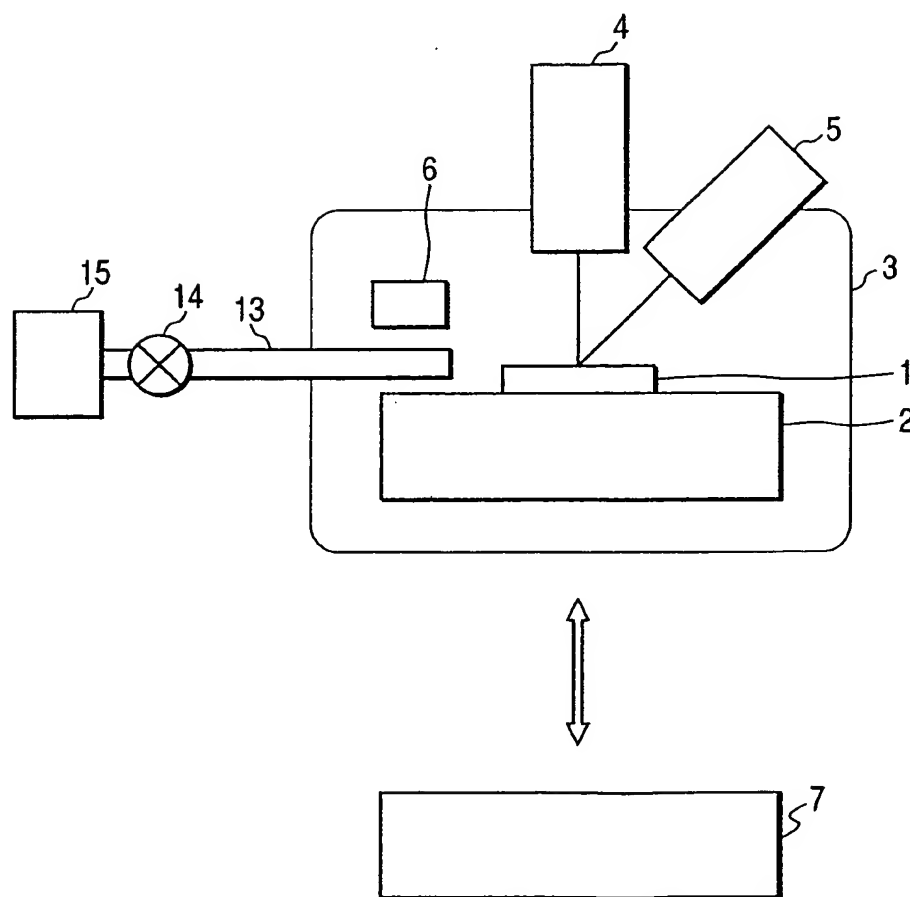
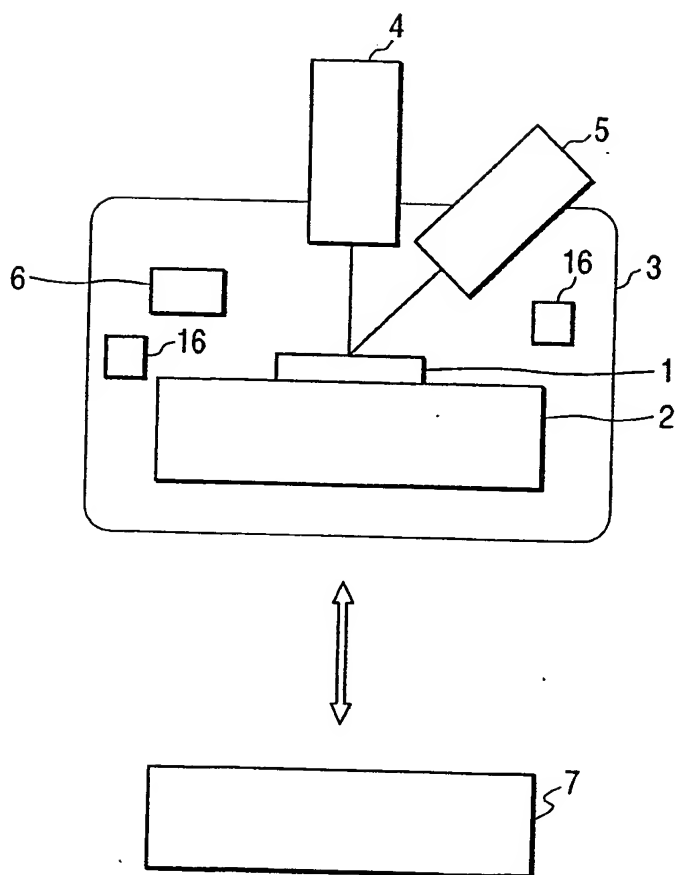
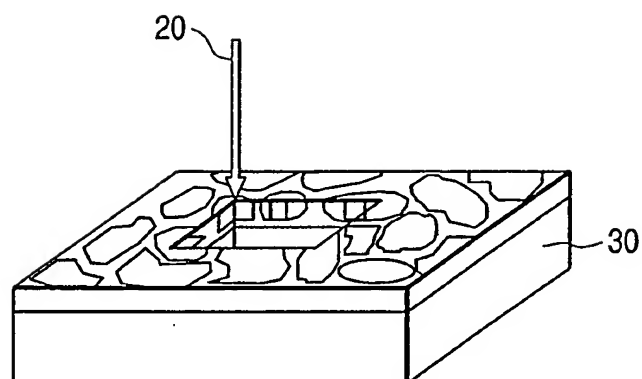


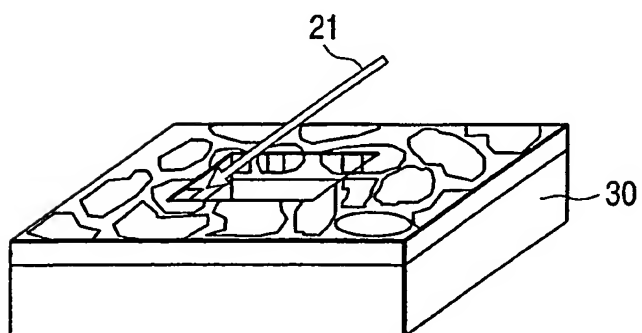
FIG. 7



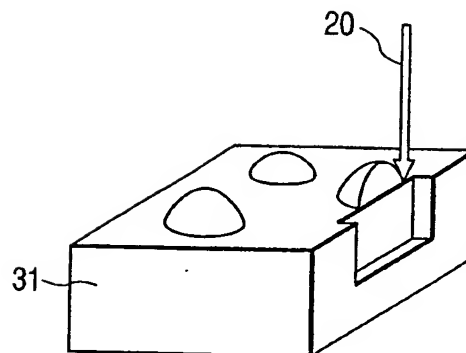
*FIG. 8A*



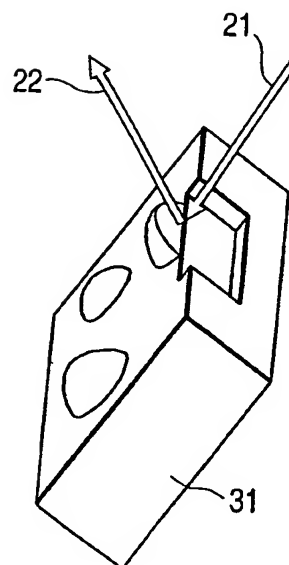
*FIG. 8B*



*FIG. 9A*



*FIG. 9B*



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/JP02/10277

## A. CLASSIFICATION OF SUBJECT MATTER

Int.Cl<sup>7</sup> H01J37/20, 37/317, 37/28

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Int.Cl<sup>7</sup> H01J37/20, 37/317, 37/28

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
 Japanese Utility Model Gazette 1926-1996, Japanese Publication of Unexamined Utility Model Applications 1971-2003, Japanese Registered Utility Model Gazette 1994-2003, Japanese Gazette Containing the Utility Model 1996-2003

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	JP 2000-149844 A (HITACHI Co. Ltd.) 2000.05.30 page3, fig.1,2 (Family;None)	1-21
Y	JP 2000-114207 A (EBARA Co. Ltd.) 2000.04.21 claim2, page3 (Family;None)	1-21
Y	JP 05-28946 A (NIPPON ELECTRIC Co. Ltd.) 1993.02.05 page2 (Family;None)	1-21
Y	JP 2001-84951 A (HITACHI Co. Ltd.) 2001.03.30 page3 (Family;None)	5-21

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

06.01.03

Date of mailing of the international search report

21.01.03

Name and mailing address of the ISA/JP

Japan Patent Office

3-4-3, Kasumigaseki, Chiyoda-ku, Tokyo 100-8915, Japan

Authorized officer

TERUO OKAZAKI

Telephone No. +81-3-3581-1101 Ext. 3226



2G

9715

**THIS PAGE BLANK (USPTO)**



**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

THIS PAGE BLANK (USPTO)